

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	10/635,875
Filing Date	August 5, 2003
First Named Inventor	Vincent A. Larsen
Art Unit	2131-2132
Examiner Name	Ayaz R. Sheikh SAMSON LEMMA
Attorney Docket Number	24089-09278

Sheet 1 of 2

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or, Applicant of Cited Document
SL	A1	US-6,779,117	08-17-2004	Wells
SL	A2	US-6,745,332	06-01-2004	Wong et al.
SL	A3	US-6,606,695	08-12-2003	Kamano et al.
SL	A4	US-2002/0162013 A1	10-31-2002	Burnett et al.
SL	A5	US-6,381,694	04-30-2002	Yen
SL	A6	US-2002/0026592 A1	02-28-2002	Gavrila et al.
SL	A7	US-2001/0056494 A1	12-27-2001	Trabelsi
SL	A8	US-6,282,618	08-28-2001	Flenley
	A9	US-6,249,867	06-19-2001	Patel
	A10	US-6,185,696	02-06-2001	Noll
	A11	US-6,064,736	05-16-2000	Davis et al.
	A12	US-6,061,790	05-09-2000	Bodnar
	A13	US-6,038,562	03-14-2000	Anjur et al.
	A14	US-5,826,242	10-20-1998	Montulli
	A15	US-5,805,882	09-08-1998	Cooper et al.
	A16	US-5,793,943	08-11-1998	Noll
	A17	US-5,684,951	11-04-1997	Goldman et al.
	A18	US-5,666,415	09-09-1997	Kaufman
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SL	A20	US-5,327,531	07-05-1994	Bealkowski et al.

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>

Examiner Signature	/Samson Lemma/	Date Considered	09/16/2006
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24089/09278/DOCS/1602353.1

Application No.	10/635,875
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Examiner Name	Ayaz R. Sheikh SAMSON LEMMA
Attorney Docket Number	24089-09278

Sheet

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Attorney Docket Number

24089-09278

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**/Samson Lemina/**

09/16/2006

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#2 12/27/05

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				Application No.	10/635,875
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				Art Unit	2131 2132
				Examiner Name	Ayaz R. Sheikh SAMSON LEMMA
Sheet 1 of 1	Attorney Docket Number		SAGE-26,418 (24089-09278)		

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
SL	A1	US- 6,088,451	07-11-2000	He et al.

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
	B1				

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
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	C1			

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#3 08/16/06

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Examiner Name	Ayaz R. Sheikh SAMSON LEMMA
Attorney Docket Number	24089-09278

Sheet

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of

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## **U.S. PATENT DOCUMENTS**

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SL	A1	US-2002/0138756 A1	09-26-2002	Makofka et al.
SL	A2	US-2002/0073072 A1	06-13-2002	Fukumoto
SL	A3	US-5,925,126	07-20-1999	Hsieh
SL	A4	US-4,104,718	08-01-1978	Poublan et al.

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SL	C1	COHEN, E. et al., "Protection in the Hydra Operating System," Fifth Symposium on Operating Systems Principles, November 19-21, 1975, pp. 141-160, [online] Retrieved from the Internet<URL: <a href="http://delivery.acm.org/10.1145/810000/806532/p141-cohen.pdf?key1=806532&amp;key2=9644615511&amp;coll=&amp;dl=GUIDE&amp;CFID=15151515&amp;CFTOKEN=6184618">http://delivery.acm.org/10.1145/810000/806532/p141-cohen.pdf?key1=806532&amp;key2=9644615511&amp;coll=&amp;dl=GUIDE&amp;CFID=15151515&amp;CFTOKEN=6184618</a> >	
SL	C2	SPENCER, R. et al., "The Flask Security Architecture: System Support for Diverse Security Policies," [online] Retrieved from the Internet<URL: <a href="http://www.nsa.gov/selinux/papers/flask.pdf">http://www.nsa.gov/selinux/papers/flask.pdf</a> >	

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24089/09278/DOCS/1647033.1

#4 01/24/05

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application No.	10/635,875
				Filing Date	August 5, 2003
				First Named Inventor	Vincent Alan Larsen
				Art Unit	2131 2132
				Examiner Name	Not Yet Known SAMSON LEMMA
Sheet	1	of	1	Attorney Docket Number	24089-09278

U.S. PATENT DOCUMENTS				
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SL	A1	US-6,779,117	08-17-2004	Wells
SL	A2	US-6,745,332	06-01-2004	Wong et al.
SL	A3	US-2002/0162013 A1	10-31-2002	Burnett et al.
SL	A4	US-2002/0026592 A1	02-28-2002	Gavrilu et al.
SL	A5	US-2001/0056494 A1	12-27-2001	Trabelsi
SL	A6	US-2001/0047463 A1	11-29-2001	Kamano et al.
SL	A7	US-5,572,694	11-05-1996	Uchino
		US-		
		US-		
		US-		
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FOREIGN PATENT DOCUMENTS					
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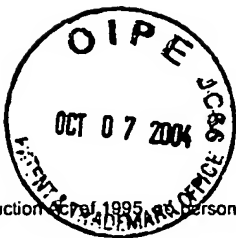
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24089/09278/DOCS/1544956.1



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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT Supplemental</b>				<b>Complete if Known</b>	
				Application No.	10/635,875
				Filing Date	August 5, 2003
				First Named Inventor	Vincent Alan Larsen
				Art Unit	<del>Not yet known</del> 2132
				Examiner Name	<del>Not yet known</del> SAMSON LEMMA
Sheet	1	of	1	Attorney Docket Number	<del>24089-00078</del> 24089-09278

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No. <sup>2</sup> Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-		
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FOREIGN PATENT DOCUMENTS					
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SL		HALLYN, SERGE E., KEARNS, PHIL, "Domain and Type Enforcement for Linux", [online], [retrieved on 2004-09-21]. Retrieved from the Internet <URL: <a href="http://www.usenix.org/publications/library/proceedings/als00/2000papers/papers/full_papers_pp.1-16">http://www.usenix.org/publications/library/proceedings/als00/2000papers/papers/full_papers_pp.1-16</a>	

Examiner Signature	/Samson Lemma/	Date Considered	09/16/2006
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24089/09278/DOCS/1469895.1

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First Named Inventor	Vincent Alan Larsen
Group Art Unit	<del>TBA</del> 2132
Examiner Name	To Be Assigned SAMSON LEMPA
Attorney Docket Number	SAGE-26 418

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Sheet	1	of	2
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 2

of 2

C m p l t i f K n w n

Application Number	10/635,875
Filing Date	August 5, 2003
First Named Inventor	Vincent Alan Larsen
Group Art Unit	IBA 2/32
Examiner Name	To Be Assigned SAMSON LEMMA
Attorney Docket Number	SAGE-26,418

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SL	1	W. WULF, R. LEVIN, C. PIERSON, "Overview of the Hydra Operating System Development". Proceedings of the Fifth Symposium on Operating Systems Principles, November 1975, pp.i-ii, 122-131, Association for Computing Machinery, Inc., New York	
	2	ELLIS COHEN and DAVID JEFFERSON, "Protection in the Hydra Operating System", Proceedings of the Fifth Symposium on Operating Systems Principles, November 1975, pp. i-ii, 141-160, Association for Computing Machinery, Inc., New York	
	3	LEE BADGER ET AL., "A Domain and Type Enforcement UNIX* Prototype", 5th UNIX Security Symposium, June 1995, pp. 127-140,	
	4	H. KRAWCZYK ET AL., "HMAC-MD5: Keyed-MD5 for Message Authentication", Network Working Group Internet Draft, March 1996, pp. i, 1-8	
	5	BART PRENEEL, "IP Authentication using Keyed MD5 / The ESP DES-CBC Transform", Katholieke Universiteit Leuven, Belgium, July 1995, pp. 1-4	
	6	P. METZGER, "IP Authentication using Keyed MD5", RFC 1828 (RFC1828), August 1995, pp. 1-5	
	7	Method and Apparatus for Controlling Access to Storage Device, Kamano, et al., Publication No. U.S. 2001/0047463 A1, Publication Date 11/29/2001	
	8	Null-Packet Transmission From Inside a Firewall to Open a Communication Window for an Outside Transmitter, Boyle, et al., U.S. 2003/0018912 A1, 01/23/2003	
SL	9	International Search Report, PCT/US03/02716, International Searching Authority (ISA/EP) 04/04/2003	

Examiner  
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/Samson Lemma/

Date

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